Sheet 1 of 2

LIST OF CITATIONS BY APPLICANT (Use several sheets if necessary)	Atty. Docket No. 1698A1	Serial No. 09/977,139
	Applicant: Udo Retzlaff et al.	
	Filing Date: October 12, 2001	Group Art Unit 1741

U.S. PATENT DOCUMENTS

Exam. Init.		Document No.	Date	Name	Class	Subclass	Filing Date if appropriate
6	AA	4,975,475	12/1990	Tsuchiya et al.	523	411	
	AB	5,284,881	02/1994	Mizugucki et al.	521	57	
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subclass	Translation Yes No
6	AL	6073314	03/1994	Japan (Abstract)			
	AM	07331132	02/1996	Japan (Abstract)			
	AN	9157545	08/1997	Japan (Abstract)			
	AO	9052919	05/1997	Japan (Abstract)			

OTHER CITATIONS (Including Author, Title, Date, Pertinent Pages, Etc.)

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Examiner	Date Considered 2/19/04
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
SUBSTITUTE DISCLOSURE STATEMENT FORM (PTO 1449)

Sheet 2 of 2

LIST OF CITATIONS BY APPLICANT (Use several sheets if necessary)	Atty. Docket No. 1698A1	Serial No. 09/977,139
	Applicant: Udo Retzlaff et al.	
	Filing Date: October 12, 2001	Group Art Unit 1741

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FOREIGN PATENT DOCUMENTS

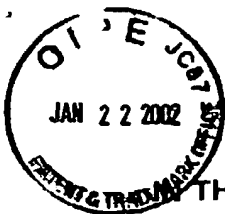
	Document No.	Date	Country	Class	Subclass	Translation Yes No
b	AM 0358221	11/1993	Europe (Abstract)	/	/	
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	AN					
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OTHER CITATIONS (Including Author, Title, Date, Pertinent Pages, Etc.)

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Examiner 	Date Considered 4/18/04
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SUBSTITUTE DISCLOSURE STATEMENT FORM (PTO 1449)



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Udo Retzlaff et al.

Serial No. 09/977,139

Filed: October 12, 2001

Title: STABLE, REDUCED GLOSS
ELECTROCOAT COMPOSITIONS AND
METHODS FOR USING THE SAME

PATENT APPLICATION

Group Art Unit: 1741

Examiner:

Attorney Docket No. 1698A1

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

The documents listed on the attached Form PTO-1449 are brought to the attention of the United States Patent and Trademark Office with respect to the application identified above in accordance with the provisions of 37 CFR 1.56, 1.97 and 1.98. Copies of the documents listed are enclosed herewith.

Respectfully submitted,

DIANE R. MEYERS
Registration No. 38,968
Attorney for Applicant

Telephone No. (412) 434-2931
Facsimile No. (412) 434-4292

January 2, 2002
Pittsburgh, Pennsylvania

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, DC 20231, on

January 2, 2002

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Diane R. Meyers

(Name of applicant, assignee or Registered Representative)

(Signature)

January 2, 2002

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Sheet 1 of 1

LIST OF CITATIONS BY APPLICANT (Use several sheets if necessary)	Atty. Docket No. 1698A1	Serial No. 09/977,139
	Applicant: UDO RETZLAFF et al.	
	Filing Date: Oct. 12, 2001	Group Art Unit 1741

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subclass	Translation Yes No
6	AM	0 433 783	06/1991	Europe	/	/	X
	AN						
	AO						

OTHER CITATIONS (Including Author, Title, Date, Pertinent Pages, Etc.)

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Examiner <u>6. H</u>	Date Considered <u>2/19/04</u>
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
SUBSTITUTE DISCLOSURE STATEMENT FORM (PTO 1449)



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: UDO RETZLAFF et al.
Serial No. 09/977,139
Filed: October 12, 2001
Title: STABLE, REDUCED GLOSS
ELECTROCOAT COMPOSITIONS AND
METHODS FOR USING THE SAME

PATENT APPLICATION
Group Art Unit: 1741
Examiner:
Attorney Docket No. 1698A1

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

The reference listed on the attached Form PTO-1449 is brought to the attention of the United States Patent and Trademark Office with respect to the application identified above in accordance with the provisions of 37 CFR 1.56, 1.97 and 1.98 before receipt of the first Office Action. A copy of the reference and translation are enclosed.

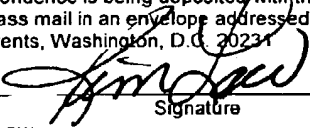
It is requested that the Examiner consider this document and that it is made of record in the application.

Respectfully submitted,

DIANE R. MEYERS
Registration No. 38,968
Attorney for Applicant

October 16, 2003
Pittsburgh, Pennsylvania

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on <u>October 16, 2003</u>	
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